

**Organisation for Economic Cooperation and Development
and
United Nations Development Programme**

**TAKING A SYSTEMATIC AND STRATEGIC
APPROACH TO SUSTAINABILITY**

**A Resource Book for
Sustainable Development Strategies**

Second Draft

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Sustainable Development Strategies**

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ACRONYMS

| | |
|--------------|--|
| AIDS | Auto Immune Deficiency Syndrome |
| AKRSP | Aga Khan Rural Support Programme |
| CAIPI | |
| CAMPFIRE | Communal Areas Management Plan for Indigenous Resources, Zimbabwe |
| CBO | Community-based organisation |
| CBD | Convention on Biological Diversity |
| CD Rom | Compact disc – read only memory |
| CDS | City Development Strategy |
| CSD | Commission for Sustainable Development |
| CDF | Comprehensive development framework |
| CILS | Permanent Committee for Drought Control in the Sahel |
| DA | District Assembly |
| DAC | Development Assistance Committee (of OECD) |
| DANIDA | Danish International Development Agency |
| DEAP | District Environmental Action Plan |
| DFID | Department for International Development (UK) |
| EC | European Commission |
| EIA | Environmental Impact Assessment |
| FAP | Flood Action Plan, Bangladesh |
| GDP | Gross Domestic Product |
| GEF | Global Environmental Facility |
| GNP | Gross National Product |
| GTZ | German Agency for Technical Cooperation |
| HIMA | <i>Hifadhi mazingira</i> (Swahili, meaning ‘conserve the environment’) |
| HIPC | Highly Indebted Poor Country |
| HIV-AIDS | Human Immuno-Deficiency Virus |
| ICLEI | International Council for Local Environmental Initiatives |
| IDA | International Development Agency |
| IDG | International Development Goal |
| IDT | International development target |
| IEC | Information, education and communication |
| IFC | International Finance Corporation |
| IIED | International Institute for Environment and Development |
| IPF | Inter-governmental Panel on Forests |
| IMF | International Monetary Fund |
| IPCC | International Panel on Climate Change |
| IT | Information technology |
| IUCN | World Conservation Union |
| LA21 | Local Agenda 21 |
| LCA | Life Cycle Assessment |
| LGO | Local Government Association |
| MEA | Multilateral Environmental Agreement |
| | Millenium Ecosystem Assessment |
| MSP | Multi-stakeholder process |
| MTEF | Medium Term Expenditure Framework |
| NAP | National Action Programme |
| NCS | National conservation strategy |
| NCSD | National Council for Sustainable Development |
| NEAP | National environmental action plan |

| | |
|--------|---|
| NEDLAC | National Economic Development and Labour Council, South Africa |
| NEPP | National Environmental Policy Plan, The Netherlands |
| NFAP | National Forestry Action Plan |
| Nfp | National forest programme |
| NGO | Non-governmental organisation |
| NPACD | National Plan of Action to Control Desertification |
| NRTEE | National Round Table on the Economy and the Environment, Canada |
| NSDS | National sustainable development strategy |
| ODA | Official Development Assistance |
| OECD | Organisation for Economic Co-operation and Development |
| PRS(P) | Poverty reduction strategy (paper) |
| 4Rs | Rights, Responsibilities, Returns/Revenues, and Relationships |
| RAP | Regional Action Programme |
| REC | Regional Environment Centre, Budapest |
| RMA | Resource Management Act, New Zealand |
| SADC | Southern Africa Development Community |
| SD | Sustainable development |
| SEA | Strategic Environmental Assessment |
| SIA | Social Impact Assessment |
| SL | Sustainable livelihoods |
| SLF | Sustainable livelihoods framework |
| SWOT | Strengths, Weaknesses, Opportunities, Threats |
| TFAP | Tropical Forestry Action Programme |
| UNCED | United Nations Conference of Environment and Development (1992) |
| UNDP | United Nations Development Programme |
| UNEP | United Nations Environment Programme |
| UNFCCC | United Nations Framework Convention on Climate Change |
| UNSO | UNDP Office to Combat Desertification and Drought (formerly UN Sahelian Office) |
| WBCSD | World Business Council on Sustainable Development |
| WCED | World Commission on Environment and Development |
| WWF | Worldwide Fund for Nature |
| WRI | World Resources Institute |
| WSSD | World Summit on Sustainable Development |
| WTO | World Trade Organisation |

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 - Earth Council
 - International Council for Local Environmental Initiatives (ICLEI)
 - International Development Research Centre (IDRC)
 - International Institute for Environment and Development (IIED)
 - International Institute for Sustainable Development (IISD)
 - New Economics Foundation (indicators)
 - Overseas Development Institute (ODI)
 - Stockholm Environment Institute (SEI)
 - UNED Forum
 - World Conservation Union (IUCN)
 - World Resources Institute (WRI)
 - 12.2.2 National NGOs
 - Sustainable Development networking Programme, Pakistan (SDNP)
 - 12.2.3 Development Cooperation Agencies with units or programmes on NSDSs
 - DFID (United Kingdom Department for International Development)
 - GTZ (Deutsche Gesellschaft für Technische Zusammenarbeit, Germany)
 - 12.2.4 Inter-governmental organisations
 - International Labour Organisation (ILO)

- International Monetary Fund (IMF) (PRSP, HIPC)
- Organisation for Economic Cooperation and Development, Development Assistance Committee (OECD/DAC)
- UN Commission on Sustainable Development (CSD)
- UN Development Programme (UNDP)
- UN Environment Programme (UNEP)
- UN Food and Agriculture Organisation FAO (TFAP, NFP)
- World Bank
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 - Prince of Wales Business Leaders Forum
 - WBCSD (see also section 2.1.2e)
- 12.2.6 National organisations
 - The Netherlands – VROM (NEPP process)
 - UK: Department of the Environment, Transport and the Regions
- 12.3 Networks and information centres
 - CSE India
 - Eco-News Africa
 - Environmental Development Action in the Third World (ENDA)
 - Foundation for Media Alternatives (FMA)
 - Fundación Futuro Latinamericano (FFLA), Quito
 - Institute for Sustainable Development (ISD), Warsaw
 - Fundación Ambiente y Recursos Naturales (FARN)
 - International Network of Green Planners (INGP)
 - National Councils for Sustainable Development (NCSDs)
 - Network for Environment and Sustainable Development in Africa (NESDA)
 - Regional Environmental Center for Central and Eastern Europe (REC), Budapest
 - Southern Africa Research and Documentation Centre (SARDC)
 - World Summit on Sustainable Development (WSSD)
- 12.4 Training courses
 - APEC Sustainable Development Network
 - European Foundation for the Improvement of Living and Working Conditions
 - GTZ (Deutsche Gesellschaft für Technische Zusammenarbeit, Germany)
- 12.5 Indicators
 - (see Box 5.9 for websites)
 - UN Commission for Sustainable Development (CSD)
- 12.6 Conventions
 - Convention on Biological Diversity
 - Convention to Combat Desertification
 - Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)
 - Convention on Migratory Species (CMS)
 - Environmental Treaties and Resource Indicators (ENTRI)
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 - International Association for Impact Assessment
 - MANDE
 - Worldwatch Institute
 - Yearbook of International Co-operation on Environment and Development

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PREFACE

In 1992, Agenda 21 called for all countries to develop national sustainable development strategies (NSDSs). These are intended to translate the ideas and commitments of the Earth Summit into concrete policies and actions. Agenda 21 recognised that key decisions are needed at the national level, and should be made by stakeholders together. It believed that the huge agenda inherent in sustainable development needed an orderly approach – a ‘strategy’. But Agenda 21 stopped short of defining such a strategy, or even of guidance on how to go about it.

The UN held a Special Session to review progress five years after the Earth Summit. Delegates were concerned about continued environmental deterioration, and social and economic marginalisation. There have been success stories, but they are fragmented, or they have caused other problems. Sustainable development as a mainstream process of societal transformation still seems elusive. Strategic policy and institutional changes are still required.

The Rio+5 assessment led governments to set a target of 2002 for *introducing* national sustainable development strategies. The Development Assistance Committee (DAC) of the OECD, in its 1996 *Shaping the 21st Century* publication, called for the *formulation and implementation* of an NSDS in every country by 2005 (as one of seven International Development Targets). It also committed DAC members to support developing countries’ NSDSs. But, again, no attempt was made to set out what a strategy would include or involve – in spite of growing experience with a number of international and local strategic models. ‘How would I know one if I saw one?’ one Minister asked.

This Resource Book is the companion to Policy Guidance (*Strategies for Sustainable Development: Guidance for Development Cooperation* (OECD-DAC 2001a). Both publications draw from international experience of many strategic approaches to sustainable development over the past two decades.

During 1999-2001, members of the OECD/DAC Working Party on Development Cooperation and Environment worked in partnership with eight developing countries to assess experience of country-level sustainable development strategies: Bolivia, Burkina Faso, Ghana, Namibia, Nepal, Pakistan, Tanzania and Thailand. Through dialogues involving stakeholders from government, the private sector and civil society, past and existing strategic planning experiences were analysed, key issues and challenges identified, and principles for best practice developed. An iterative process involving in-country discussions and three international workshops in Tanzania, Thailand and Bolivia, led to consensus on the final text of the Policy Guidance.

The Policy Guidance sets out best practice in developing and operating strategic processes for sustainable development, and on how development cooperation agencies can best assist developing countries in such processes, and includes a set of set of principles which underpin the development of effective strategies in many developing countries (Box 3.1).

In November 2001, a UN International Forum on National Strategies for Sustainable Development (held in preparation for the 2002 World Summit on Sustainable Development, WSSD) agreed guidance on NSDSs which confirms almost identical principles - but termed ‘elements’ (Box 3.2) and defined as being applicable to both developed and developing countries alike.

Following up on this, PrepCom3 for the WSSD in March/April 2002, noted the work of the OECD DAC and the Ghana Forum and called for the endorsement of the UN Guidance and

launch of a manual on NSDSs at the WSSD [*to be confirmed at PrepCom3 in March/April 2002*].

This Resource Book meets the needs of such a manual, providing in-depth information on processes and methodologies. It was prepared by the International Institute for Environment and Development, working in collaboration with members of the partner country teams (see above) and a wide range of other organisations and individuals. It will be of value to a wide range of organisations, institutions and individuals in both developed and developing countries concerned with strategic planning for sustainable development.